## AFRINIC WEBINAR SERIES

Introduction to the AFRINIC Policy Development Process (PDP)

Presenter: Madhvi Gokool, Brice Abba, Darwin da Costa





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## AGENDA

- 1. Explain why resource policies matter.
- 2. Explore the AFRINIC Policy Development Process
- 3. Gain Insights into AFRINIC Policy Implementation
- 4. Explain how to participate in policy discussions

# Understand the why of resource policy

# ICP-2 - Criteria for Establishment of New Regional Internet Registries

Bottom-up self-governance structure for setting local policies Procedure for development of resource management policies

Open and transparent procedures accessible to all interested parties

Fair representation of all constituencies within the region

Annual policy development meeting and public archived mailing lists for policy discussions

## AFRINIC Internet Number Resource Policies

Internet Number Resources Management Policies Guidelines for resource management and services offered by AFRINIC

Developed by the AFRINIC Internet Community (PDWG)

Developed through the AFRINIC Policy Development Process (PDP) Implemented if they reach consensus within the community and ratified by the AFRINIC Board

# AFRINIC Internet Number Resource Policies

Applicable to organisations operating networks as Resource Members

Applicable to organisations planning to obtain public IP Resources to connect users/customers to the Internet

## **Consolidated Policy Manual**

AFRINIC Consolidated Policy Manual

Repository of ratified and implemented policies

Evolves to adapt to new situations and policy proposals

# Policy Development Process Steps

## Policy Development Process

#### **AFRINIC PDP**

Historical development and updates

2004 - Transitional Process 2007 - Update. Implemented in 2008 2010 - Update . Implemented in 2010

Current version is a documented policy (Section 3.0 of the CPM)

Follows the bottom-up principle

Policy and amendments require consensus within the community and ratification by the Board

## Policy Development Process

Steps for proposing, deliberating, and adopting policies

Based on rough consensus

Each RIR has its own process with similarities & variations

## The PDP Principles

Bottom-up principle

Openness in policy development

Transparency through documentation and accessibility

Fairness in resource distribution

## Policy Development Process -Key Steps

Proposal based on a problem statement

Discussion

Consensus Determination

Ratification

Implementation

Incorporated in Policy Manual(CPM)

Individual(s) from AFRINIC Community Policy Development Working group Policy Development Working Group | 2 Chairs AFRINIC Board of Directors **AFRINIC Staff** 

**AFRINIC Staff** 

## The Policy Development Working Group

Author a policy proposal

Discuss a policy proposal (agreements, merits, disadvantages, enhancements)

Online discussions (email address and mailing list subscription)

In-person discussions (Public Policy Meetings)

May contribute in individual capacity or on behalf of their organisation( Resource Members)

## The Policy Development Working Group

Chaired by 2 Co-Chairs selected by the community

Current Co-Chairs: Vincent Ngundi (Kenya), Darwin Da Costa (Angola) Supported by AFRINIC's Policy Liaison Team Madhvi Gokool & Brice ABBA from AFRINIC

## **Conflict Resolution**

In case of disagreement with the PDWG Chairs decisions, discuss with them

Use of appeal mechanism

Recall of PDWG Chairs

### Roles of the PDP Co-Chairs

Moderate RPD mailing list discussions

Determine rough consensus in public policy meetings

Initiate and terminate final reviews of proposals

Report outcomes to the AFRINIC Board

Publish minutes of public policy meetings

## **Building & Determining Consensus**

#### Section 3.0 of the CPM

The objective of the PDP is to:

- Provide ample opportunity for participation and comment by all interested parties;
- Establish widespread Internet community consensus.

#### Section 3.4.2 of the CPM

The Chair(s) determine(s)
 whether rough consensus has
 been achieved during the
 Public Policy Meeting.

## **Building & Determining Consensus**

#### RFC 7282 (On Consensus and Humming in the IETF)

- RFC developed for the IETF, an environment similar to ours
- Provides a guide to building & determining consensus

## Moderation of Policy Proposal Discussions

- Identifying objections
   & contentious issues
   regarding the policy
   proposal
- Tracking open issues yet to be addressed by the Author(s) and participants

#### **Building Consensus**

- Direct the PDWG towards the areas that are contentious
- Encourage
   participants to focus
   and seek consensus
   on such areas
- Ensure that concerns raised through the AfriNIC impact assessment are addressed

## **Building & Determining Consensus**

- Determining consensus is a process
- Objective is to always aim for rough consensus, if not consensus
- Rough consensus is not built/determined through a VOTING mechanism
- Rather by ensuring that all objections/concerns are adequately addressed
- Look/seek consensus throughout the process (for each contentious issue)
- No VOTING mechanism applied at any point in time (avoid "vote stuffing")
- 100 people for and 5 people against might not be rough consensus
   If a minority of participants have a valid objection, that objection must be dealt with before rough consensus can be declared
- 5 people for and 100 people against might still be rough consensus
   As long as there are no valid objections that have not been addressed

# Process of Building & Determining Consensus

#### **Moderate Policy Proposal Discussions**

- Identify objections/contentious issues
- Track open issues that are yet to be addressed

#### **Build Consensus**

- Direct PDWG towards open issues
- Encourage PDWG to focus & seek solutions to these issues
- Leverage on impact assessment to address open issues

#### **Determine Consensus**

- Focus is to ensure that all open issues have been addressed
- Looks/seek consensus throughout the process
- No voting (to avoid vote stuffing)
- 100 people for & 5 against might not be rough consensus
- 5 people for & 100 against might be rough consensus

## Public Policy Meeting (PPM)

Annual meeting for policy proposal discussions

Authors present their proposals

AFRINIC staff presents impact assessment

PDWG members in attendance discuss proposals

Outcome: rough consensus or further discussion



## Implementation

Procedures & Processes

AFRINIC systems (WHOIS, myafrinic, RPKI)

Website/User Manuals

## Implementation

Once concluded, applies to all Resource Members and organisations requesting for resources

Resource Members may receive notifications of non-compliance

Experience a change in behaviour when using the existing systems

## Implementation

Timelines for implementation in the PDP

Community is notified if additional time is required at the time of the impact assessment

Policy Implementation Experience Report

# WHY SHOULD YOU CARE?

# How to Form Part of the AFRINIC Community

Participation through RPD mailing list subscription

https://lists.afrini c.net/mailman/li stinfo/rpd Review and discuss policy proposals

Guidelines for participating in discussions

Sharing situations, support, opposition, and enhancements

## Why should you participate in the PDP?

Opportunity to contribute to and propose policies

Fixing network operator problems through consensus

Compliance with implemented policies is crucial

Ensure proper resource management to avoid delays

Avoid Learning about policies at the time of submitting resource requests

Have a better understanding error messages and non-compliance notifications

## **Global Policies**

Governance of issuing Internet number resources by PTI

Discussing global policies at each RIR

Documented on NRO website

https://www.nro.net/policy/global/

## Policies that Have Reached Consensus

#	Proposal Summary	Draft	ID	Date	Status	Remarks
1	AFRINIC Number Resources Transfer Policy	D3	AFPUB-2020-GEN-006- DRAFT03	2021 Nov 22	Consensus	Awaiting Ratification by Board
2	RPKI ROAs for Unallocated and Unassigned AFRINIC Address Space	D3	AFPUB-2019-GEN-006- DRAFT03	2021 Apr 19	Ratified	Awaiting Implementation
3	Abuse Contact Policy Update	D7	AFPUB-2018-GEN-001- DRAFT07	2021 May 17	Consensus	Awaiting Ratification by Board
4	Policy Compliance Dashboard	D2	AFPUB-2021-GEN-003- DRAFT02	2021 Nov 9	Consensus	Awaiting Ratification by Board

## Poll questions

- In which year was the first AFRINIC PPM held?2002/2004/2005/2006 (at the end of slide 21)
- Where was the first AFRINIC PPM held? South Africa,
   Egypt/Senegal (at the end of slide 21)
- In which year was the first resource policy developed? 2000/2004/2005/2006 ((at the end of slide 21)
- Is consensus a vote ? Yes/NO (at the end of slide 21)
- Are you currently subscribed to the rpd mailing list? yes/no (at the end of slide 30)
- If not subscribed, do you plan on joining the rpd mailing list? yes/no (at the end of slide 30)

## Thank You For Your Support and Attention.

Should you have any question in this regard, please feel free to contact us

AFRINIC Ltd

11th Floor Standard Chartered Tower

19 Cybercity, Ebene | Mauritius

www.afrinic.net

AFRINIC Stakeholder Development Department engagement@afrinic.net

t: +230 403 5100 | f:+230 466 6758

